

# On the evolution of GGHN cipher

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# Outline

## 1 Introduction

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- 2 Short Cycles

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- 3 Evolution of a Randomized variant of GGHN cipher

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- 3 Evolution of a Randomized variant of GGHN cipher
- 4 Results

# GGHN Cipher

- Proposed by Gong et al in 2005
- Modification of RCA with larger state size
- GGHN( $n, m$ ) State consists of
  - ① A state array S, having  $2^n$  cells
  - ② Each cell holds  $m$  bit integers
  - ③ A variable  $k$ , of  $m$  bits
  - ④ Index variables  $i$  and  $j$

# GGHN ( $n, m$ ) PRGA Algorithm

**Input:** S-Box  $S$  and  $k$  from GGHN-KSA( $n, m$ );

**Output:**  $m$ -bit Keystream words  $z$

$i = 0, j = 0, M = 2^m, N = 2^n;$

**while** Keystream is generated **do**

$i = (i + 1) \bmod N;$

$j = (j + S[i]) \bmod N;$

$k = (k + S[j]) \bmod M;$

$z = (S[(S[i] + S[j]) \bmod N] + k) \bmod M;$

$S[(S[i] + S[j]) \bmod N] = (k + S[i]) \bmod M;$

**end**

**Algorithm 1:** GGHN-PRGA( $n, m$ )

# Weaknesses

- Once all elements of  $S$  and  $k$  become even,
  - ① It remains in the all even state.
  - ② Half of the array locations ( those indexed by odd numbers ) do not change at all.
- Several short cycles exist.
- For GGHN( $n, m$ ), It is possible to
  - ① Prove the existence of these cycles.
  - ② Construct such cycles by solving modular equations.

# A Cycle in GGHN(2, 2)

## Proposition

For the GGHN PRGA(2, 2) system, the initial condition

$S[0] = 1, S[1] = 1, S[2] = 0, S[3] = 1, i = j = 0, k = 3$  forms a cycle of length 4.

## Proof.

The algorithm starts from the given state

$i = 0, j = 0, k = 3, S[0] = 1, S[1] = 1, S[2] = 0, S[3] = 1$  and goes through the following states before returning to the original:

$i = 1, j = 1, k = 0, S[0] = 1, S[1] = 1, S[2] = 1, S[3] = 1,$

$i = 2, j = 2, k = 1, S[0] = 1, S[1] = 1, S[2] = 2, S[3] = 1,$

$i = 3, j = 3, k = 2, S[0] = 1, S[1] = 1, S[2] = 3, S[3] = 1.$

□

## Remark

$S[i] + S[j] \equiv 2 \pmod{4}$  and  $i = j$  at all stages.

# A Cycle in GGHN(2, $m$ )

## Proposition

For the GGHN PRGA(2,  $m$ ) with  $m \geq 2$ , consider an initial condition of the form  $i = j = 0$ ,  $S[0] = s_0 \equiv 1 \pmod{4}$ ,  $S[1] = s_1 \equiv 1 \pmod{4}$ ,  $S[2] = s_2 \equiv 0 \pmod{4}$ ,  $S[3] = s_3 = 1 \pmod{4}$ ,  $k = k_0 \equiv 3 \pmod{4}$ . If

$$k_0 \equiv -(s_0 + 3s_1 + s_3) \pmod{2^m} \text{ and}$$

$$s_2 \equiv -(3s_1 + s_3) \pmod{2^m}$$

are satisfied, then a cycle of length 4 will be generated.

# Proof

Proof.

The following states occur

$$\left( \begin{array}{c} i = 1, j = 1, k = k_0 + s_1 \\ S[0] = s_0, S[1] = s_1, S[2] = k_0 + 2s_1, S[3] = s_3 \end{array} \right)$$

$$\left( \begin{array}{c} i = 2, j = 2, k = 2k_0 + 3s_1 \\ S[0] = s_0, S[1] = s_1, S[2] = 3k_0 + 5s_1, S[3] = s_3 \end{array} \right)$$

$$\left( \begin{array}{c} i = 3, j = 3, k = 2k_0 + 3s_1 + s_3 \\ S[0] = s_0, S[1] = s_1, S[2] = 2k_0 + 3s_1 + 2s_3, S[3] = s_3 \end{array} \right)$$

$$\left( \begin{array}{c} i = 0, j = 0, k = 2k_0 + 3s_1 + s_3 + s_0 \\ S[0] = s_0, S[1] = s_1, S[2] = 2k_0 + 3s_1 + s_3 + 2s_0, S[3] = s_3 \end{array} \right)$$



# Proof

Proof.

For this to represent a cycle the conditions

$2k_0 + 3s_1 + s_3 + s_0 \equiv k_0 \pmod{2^m}$  and  $2k_0 + 3s_1 + s_3 + 2s_0 \equiv s_2 \pmod{2^m}$   
must hold. That is to say  $k_0$  must satisfy the modular equation

$k_0 \equiv -(s_0 + 3s_1 + s_3) \pmod{2^m}$  and  $s_2$  must satisfy the equation  
 $s_2 \equiv -(3s_1 + s_3) \pmod{2^m}$ .



## Example

### Example

In the system GGHN(2, 8) if we take  $s_0 = 69$ ,  $s_1 = 141$ ,  $s_3 = 9$ , using the above equations we get  $k_0 = 11$  and  $s_2 = 80$  and so  $i = 0$ ,  $j = 0$ ,  $k = 11$ ,  $S[0] = 69$ ,  $S[1] = 141$ ,  $S[2] = 80$ ,  $S[3] = 9$  forms a cycle of length 4.

# Cycles in GGHN( $n, m$ )

## Lemma

In the GGHN( $n, m$ ) PRGA algorithm, one can obtain a cycle of length  $2^n$  starting with the initial state  $i = 0, j = 0, k = k_0 \equiv -1 \pmod{2^n}, S[1] = s_1 \equiv 1 \pmod{2^n} \forall r \in [0, 2^n - 1], r \neq 2$ , and  $S[2] = s_2 \equiv 0 \pmod{2^n}$  under the conditions

$$k_0 \equiv - \left( s_0 + 3 \cdot s_1 + \sum_{r=3}^{2^n-1} s_r \right) \pmod{2^m} \text{ and}$$

$$s_2 \equiv - \left( 3 \cdot s_1 + \sum_{r=3}^{2^n-1} s_r \right) \pmod{2^m}.$$

# Proof

Proof.

The states are evolved as follows

$$\left( \begin{array}{c} i = j = 1, \ k = k_0 + s_1 \\ S[r] = s_r \forall r \in [0, 2^n - 1], r \neq 2, \ S[2] = k_0 + 2s_1 \end{array} \right)$$

$$\left( \begin{array}{c} i = j = 2, \ k = 2k_0 + 3s_1 \\ S[r] = s_r \forall r \in [0, 2^n - 1], r \neq 2, \ S[2] = 3k_0 + 5s_1 \end{array} \right)$$

$$\left( \begin{array}{c} i = j = 3, \ k = 2k_0 + 3s_1 + s_3 \\ S[r] = s_r \forall r \in [0, 2^n - 1], r \neq 2, \ S[2] = 2k_0 + 3s_1 + 2s_3 \end{array} \right)$$

⋮

$$\left( \begin{array}{c} i = j = i_0, \ k = 2k_0 + 3s_1 + \sum_{r=3}^{i_0} s_r, \ S[r] = s_r \\ \forall r \in [0, 2^n - 1], r \neq 2, S[2] = 2k_0 + 3s_1 + \sum_{r=3}^{i_0} s_r + s_{i_0} \end{array} \right)$$



# Proof

Proof.

$$\left( \begin{array}{l} i = j = 0, \ k = 2k_0 + s_0 + 3s_1 + \sum_{r=3}^{2^n-1} s_r, \ S[r] = s_r \\ \forall r \in [0, 2^n - 1], r \neq 2, \ S[2] = 2k_0 + 2s_0 + 3s_1 + \sum_{r=3}^{2^n-1} s_r \end{array} \right)$$

So we need  $k_0 \equiv 2k_0 + s_0 + 3s_1 + \sum_{r=3}^{2^n-1} s_r \pmod{2^m}$  and  
 $s_2 \equiv 2k_0 + 2s_0 + 3s_1 + \sum_{r=3}^{2^n-1} s_r \pmod{2^m}$ . So,

$$k_0 \equiv - \left( s_0 + 3 \cdot s_1 + \sum_{r=3}^{2^n-1} s_r \right) \pmod{2^m} \text{ and}$$

$$s_2 \equiv - \left( 3 \cdot s_1 + \sum_{r=3}^{2^n-1} s_r \right) \pmod{2^m}.$$



# GGHN( $n, m$ )

## Example

For example, in GGHN(8, 32) if  $s_r = 1 \forall r \in [0, 2^n - 1]$  except  $r = 2$ , then we would obtain  $k_0 = 2^{32} - (2^8 + 1)$  and  $s_2 = 2^{32} - 2^8$ .

- A total of  $(2^{m-n})^{2^n-1} = 2^{(m-n)(2^n-1)}$  states of this form.
- Cycles of other form may be present.

# Randomized variant of the GGHN cipher

- We study a randomized variant of the GGHN cipher
- State Update
  - $k = k + S[j] \bmod M$
  - $S[S[i] + S[j] \bmod N] = k + S[i] \bmod M$
- Present a theoretical model in which indices are chosen independently and uniformly at random in  $[0, N - 1]$  i.e.
- $i, j, S[i] + S[j] \bmod N$  chosen uniformly random from  $[0, N - 1]$  in each iteration
- We investigate how long it takes for this model to reach the all zero state.

# BIT-RAND GGHN PRGA( $n, 1$ )

```
while the loop is required to be run do
    Select  $a, b, c$  uniformly at random from
     $[0, N - 1]$ ;
    1    $k = (k \oplus S[a])$ ;
    2    $S[b] = (k \oplus S[c])$ ;
end
```

**Algorithm 2:** BIT-RAND-GGHN-PRGA( $n, 1$ )

# BIT-RAND GGHN PRGA( $n, 1$ )

- We want to find the expected number of iterations required after which all the elements in  $S$  as well as  $k$  become zero.
- Let  $q$  denote the number of 1's in  $S$
- We analyze the Markov chain with state.  $(q, k) \in \{0, N\} \times \{0, 1\}$ .
- $q_t$  denotes the number of 1's in  $S$  after  $t$  rounds.
- $k_t$  denotes the value of  $k$  after  $t$  rounds.
- $(q, k) = (0, 0)$  is the terminating state.

- while the loop is required to be run do
- Select  $a, b, c$  uniformly at random from  $[0, N - 1]$
- $k = (k \oplus S[a])$
- $S[b] = (k \oplus S[c])$
- end

$$k_{t+1} = \begin{cases} 1 \oplus k_t, & \text{with probability } \frac{q_t}{N}, \\ k_t, & \text{otherwise} \end{cases} \quad (1)$$

and

$$q_{t+1} = \begin{cases} q_t + 1, & \text{with probability } \frac{q_t}{N} \left(1 - \frac{q_t}{N}\right) \text{ if } k_{t+1} = 0, \\ q_t - 1, & \text{with probability } \frac{q_t}{N} \left(1 - \frac{q_t}{N}\right) \text{ if } k_{t+1} = 0, \\ q_t + 1, & \text{with probability } \left(1 - \frac{q_t}{N}\right)^2 \text{ if } k_{t+1} = 1, \\ q_t - 1, & \text{with probability } \left(\frac{q_t}{N}\right)^2 \text{ if } k_{t+1} = 1, \\ q_t & \text{otherwise.} \end{cases} \quad (2)$$

# Markov Chain Matrix

- Define the state chain  $A_t \stackrel{\Delta}{=} (q_t, k_t)$  as  $0 \stackrel{\Delta}{=} (0, 1)$ ,  $1 \stackrel{\Delta}{=} (1, 0)$ ,  
 $2 \stackrel{\Delta}{=} (1, 1)$ ,  $3 \stackrel{\Delta}{=} (2, 0)$ ,  $4 \stackrel{\Delta}{=} (2, 1)$ , ...,  $2n \stackrel{\Delta}{=} (n, 1)$ .
- Define the transition matrices  $M_k, M_q$
- $M_k(i, j) = Pr(A_{t+1} = j / A_t = i)$  after change of  $k$
- $M_q(i, j) = Pr(A_{t+1} = j / A_t = i)$  after change of  $S$
- $M = M_q \times M_k$  gives the full transition probabilities after one iteration

# RAND GGHN(2,1)

$$M_k = \frac{1}{4} \begin{pmatrix} 4 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 3 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 3 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 3 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 4 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 4 & 0 \end{pmatrix}, M_q = \frac{1}{16} \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 10 & 0 & 4 & 0 & 0 & 0 & 0 & 0 \\ 16 & 0 & 6 & 0 & 4 & 0 & 0 & 0 & 0 \\ 0 & 3 & 0 & 8 & 0 & 3 & 0 & 0 & 0 \\ 0 & 0 & 9 & 0 & 8 & 0 & 9 & 0 & 0 \\ 0 & 0 & 0 & 4 & 0 & 10 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 4 & 0 & 6 & 0 & 16 \\ 0 & 0 & 0 & 0 & 0 & 3 & 0 & 16 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}.$$

# RAND GGHN(2,1)

Hence the final transition matrix  $M_q M_k$  will be

$$M_f = \frac{1}{64} \begin{pmatrix} 0 & 1 & 3 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 30 & 10 & 8 & 8 & 0 & 0 & 0 & 0 \\ 64 & 6 & 18 & 8 & 8 & 0 & 0 & 0 & 0 \\ 0 & 9 & 3 & 16 & 16 & 3 & 9 & 0 & 0 \\ 0 & 9 & 27 & 16 & 16 & 27 & 9 & 0 & 0 \\ 0 & 0 & 0 & 8 & 8 & 10 & 30 & 0 & 0 \\ 0 & 0 & 0 & 8 & 8 & 18 & 6 & 64 & 0 \\ 0 & 0 & 0 & 0 & 0 & 3 & 9 & 0 & 64 \\ 0 & 0 & 0 & 0 & 0 & 3 & 1 & 0 & 0 \end{pmatrix}.$$

## RAND GGHN(2,1)

- To calculate the expected survival time of the process, we need the fundamental matrix  $F = (I - M_f)^{-1}$ .
- The element  $F_{ij}$  gives the expected number of times the process visits state  $i$  given the process started from the state  $j$ .
- Summing over the columns of  $F$  i.e  $E = \mathbf{1}^T F$ , we get the expected total survival time for each initial state
- Take the dot product  $E.v$ , where  $v$  is the distribution vector for the initial states

# RAND GGHN(2,1)

$$F = \begin{pmatrix} 1.33 & 0.25 & 0.33 & 0.29 & 0.29 & 0.29 & 0.29 & 0.29 & 0.29 \\ 5.33 & 5.97 & 5.33 & 5.65 & 5.65 & 5.65 & 5.65 & 5.65 & 5.65 \\ 5.33 & 3.41 & 5.33 & 4.37 & 4.37 & 4.37 & 4.37 & 4.37 & 4.37 \\ 6.00 & 5.10 & 6.00 & 7.80 & 6.80 & 6.99 & 7.11 & 7.11 & 7.11 \\ 10.00 & 8.02 & 10.00 & 10.76 & 11.76 & 11.57 & 11.45 & 11.45 & 11.45 \\ 5.33 & 4.37 & 5.33 & 6.19 & 6.19 & 7.83 & 7.21 & 7.21 & 7.21 \\ 5.33 & 4.37 & 5.33 & 6.19 & 6.19 & 7.01 & 8.03 & 8.03 & 8.03 \\ 1.33 & 1.09 & 1.33 & 1.55 & 1.55 & 1.83 & 1.93 & 2.93 & 2.93 \\ 0.33 & 0.27 & 0.33 & 0.39 & 0.39 & 0.48 & 0.46 & 0.46 & 1.46 \end{pmatrix}.$$

So  $E$ , whose entries are sum of columns, will be

$$E = \mathbf{1}^T F = [40.33 \quad 32.87 \quad 39.33 \quad 43.19 \quad 43.19 \quad 46.02 \quad 46.52 \quad 47.52 \quad 48.52]$$

Here the initial state distribution vector  $v = \frac{1}{32} (1, 4, 4, 6, 6, 4, 4, 1, 1)$ . Hence  $E \cdot v = 41.05$  gives the expected number of steps where all elements of  $S$  and also  $k$  are zero.

# Comparison Table

**Table:** Theoretical bounds and experimental values of  $f(N)$  for different values of  $N = 2^n$  in BIT-RAND-GGHN-PRGA( $n, 1$ ).

$N$	$f(N)$	Experiment
4	41.05	41.02
8	280.49	279.89
12	1463.27	1469.15
16	7118.88	7111.03
20	33836.28	33433.15
32	3423401.56	-
64	619282894484.52	-
128	14919136419435860915574.98	-
256	6230189288473573925071742121365315064452309.75	-

# Conclusions

## Corollary

Since  $6230189288473573925071742121365315064452309 \approx 2^{142.16}$ , following Table 1, we can say that when length of  $S$  is 256, all the elements of  $S$  as well as  $k$  become zero within expected  $2^{142.16}$  many steps for  $N = 256$ . For  $RAND - GGHN(8, 32)$  the expected time to get all elements of  $S$  and  $k$  to go to zero is thus  $32 \cdot 2^{142.16} = 2^{147.16}$

## Proof.

Each significant bit position can be thought of as a  $RAND - GGHN(8, 1)$  system. Multiplying over 32 bit positions we get the result. □

# Thank You